

Magnetic and dielectric properties of YbMnO₃ perovskite thin films

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Metastable manganite perovskites displaying the antiferromagnetic so-called *E* phase are predicted to be multiferroic. Due to the need of high pressures for the synthesis of this phase, this prediction has only been confirmed in bulk HoMnO₃. Here we report on the growth and characterization of YbMnO₃ perovskite thin films grown under epitaxial strain. Highly oriented thin films, with thickness down to 30 nm, can be obtained showing magnetodielectric coupling and magnetic responses as those expected for the *E* phase. We observe that the magnetic properties depart from the bulk behavior only in the case of ultrathin films ($d < 30$ nm), which display a glassy magnetic behavior. We show that strain effects alone cannot account for this difference and that the film morphology plays, instead, a crucial role.

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The search for materials combining two or more ferroic orderings—the so-called multiferroics—has boosted in recent years.^{1–3} (Anti)ferromagnetism and standard ferroelectricity have been shown to be mutually exclusive;¹ thus ferroelectricity in multiferroics should be associated with nonstandard mechanisms. A lot of attention has been drawn toward AMnO₃ ($A = \text{Tb, Dy}$) orthorhombic manganites,^{4,5} where the ferroelectric displacements have their origin in the Dzyaloshinskii-Moriya interaction^{6–8} and are directly induced by a spiral antiferromagnetic ordering.^{9,10} As magnetism and ferroelectricity are intimately related in these compounds, the magnetoelectric coupling is very strong and allows the magnetic control of the polarization.⁴

Less attention has been paid to orthorhombic manganites with smaller *A* cations ($A = \text{Lu, Yb, Tm, Er, Ho}$). The stable structure of these manganites is hexagonal, but the metastable orthorhombic perovskite phase can be stabilized by means of high-pressure synthesis¹¹ or epitaxial growth.¹² The Mn lattice of these compounds displays a magnetic transition to an incommensurate antiferromagnetic structure at $T_N \sim 42$ K, followed by a lock-in transition to a commensurate *E*-type antiferromagnetic ordering at lower temperatures.¹³ Recent theoretical work^{14,15} suggests that the *E*-type magnetic structure should induce ferroelectricity with large polarization values (0.5–12 $\mu\text{C}/\text{cm}^2$). Up to date, only Lorenz *et al.*^{16,17} showed, by means of dielectric and pyroelectric current measurements, the existence of a strong magnetodielectric coupling and a spontaneous polarization in the HoMnO₃ manganite. Dielectric and magnetoelectric characterization of other *E*-phase compounds, in order to confirm the theoretical predictions, is still lacking due to the difficult synthesis of this metastable phase. Moreover, more generally, despite their interest in applications, thin films of orthorhombic magnetoelectric manganites have been rarely reported.

Here we report on the growth, structural, magnetic, and electrical characterization of YbMnO₃ (YbMO) perovskite thin films. YbMnO₃ thin films were deposited on SrTiO₃ (STO)(111) substrates by pulsed laser deposition (PLD) using a KrF excimer laser with $\lambda = 248$ nm. The deposition was performed at 750 °C in an oxygen pressure of 0.15

mbar, with a laser fluence of ~ 2 J/cm² and a laser repetition rate of 1 or 2 Hz. After deposition, the films were cooled down (-5 °C/min) to room temperature under an oxygen pressure of 100 mbar. The crystallinity and structure of the films was studied by both standard x-ray diffraction (XRD) ($\lambda = 1.540$ Å) and synchrotron radiation at the W1 beamline of HASYLAB ($\lambda = 1.393$ Å). Cross sections of the thin films on the substrates were analyzed using transmission electron microscopy (TEM) (JEOL 2010 F). The thickness of the films—determined by modeling the XRD reflectivity—ranged between 7 and 64.5 nm. The growth rate was estimated as 0.065 Å/pulse. Magnetic characterization was performed by means of a Quantum Design superconducting quantum interference device (SQUID) magnetic property measurement system (MPMS), while for the dielectric measurements a capacitance bridge (Agilent 4284A) hooked to a Quantum Design physical property measurement system (PPMS) was used.

The bulk orthorhombic perovskite structure of the YbMnO₃ perovskite belongs to the *Pbnm* space group and has cell parameters $a = 5.2208$ Å, $b = 5.8033$ Å, and $c = 7.3053$ Å.¹⁸ The SrTiO₃(111) substrate (cubic perovskite with $a = 3.905$ Å) can accommodate the YbMnO₃ structure with the two following orientations relationships: (i) YbMnO₃[101]||SrTiO₃[111] (out of plane) and YbMnO₃[101]||SrTiO₃[11 $\bar{2}$] (in plane); (ii) YbMnO₃[011]||SrTiO₃[111] (out of plane) and YbMnO₃[011]||SrTiO₃[11 $\bar{2}$] (in plane). In the first case, the in-plane lattice mismatch [$u = 1 - (a_{\text{film}}/a_{\text{sub}})$] is tensile (6.4%) along STO[11 $\bar{2}$] and compressive (−5%) along STO[1 $\bar{1}0$], while in the second case the strain is tensile in both directions (2.7% and 5.5%, respectively). The out-of-plane [101] orientation is more likely since the opposite sign of the lattice mismatch in both in-plane directions allows a better strain accommodation.

Figure 1(a) shows a synchrotron XRD pattern recorded on a 12 nm thick thin film. The film is shown to be single phase and, as expected, (101) oriented. Figure 1(b) collects the evolution of the out-of-plane interplanar d_{101} distance as a function of the thickness. In all cases the obtained values are

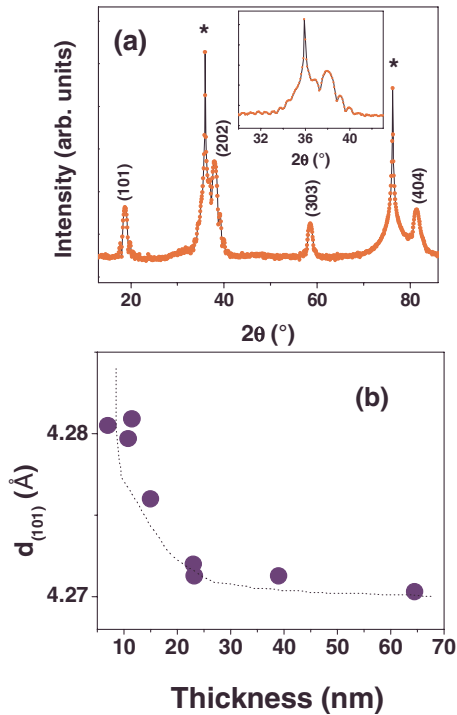


FIG. 1. (Color online) (a) X-ray synchrotron θ - 2θ spectra corresponding to an 11.5 nm YbMnO_3 film grown on $\text{SrTiO}_3(111)$. The blowup in the inset shows the presence of thickness oscillations; (b) evolution of the out-of-plane interplanar distance (d_{101}) as a function of the thickness for different YbMO films.

larger than the bulk value (4.25 Å), indicating that the films grow under an overall compressive strain. The decrease in d_{101} when increasing thickness indicates a progressive strain relaxation, probably through the formation of misfit dislocations. This is reflected in the increment of the diffuse component of the rocking curves recorded around the (202) reflections (not shown here), whose full width at half maximum increases from 0.09° , for a 11.5 nm thick film, to 0.28° , for a 39 nm thick film.

Figure 2(a) shows the TEM cross section of an 11.5 nm thick thin film, showing a relatively flat surface. This is confirmed by atomic force microscopy (AFM) images (not shown here), which display a typical root-mean-square (rms) roughness lower than 0.4 nm and an overall height variation of less than 1 nm. Upon increasing thickness, the films become rougher. Figure 2(b) presents the TEM cross section for a 39 nm thick thin film, showing the presence of columnar growth with relatively deep cusps at the grain boundaries. The AFM images of the same film present a rms roughness of 0.7 nm and an overall height variation of 4 nm. The increase in the roughness with the thickness is typical of a three-dimensional (3D) growth mechanism.

In order to probe the Mn valence state of the films, we have measured the Mn 3s x-ray photoemission spectra (XPS) of films with different thicknesses. The Mn 3s level displays an energy splitting originated by the intra-atomic exchange coupling between 3s and 3d electrons; therefore, the magnitude of the splitting has been proposed to be proportional to the local Mn valence.¹⁹ Figure 3 shows the spectra obtained for two YbMnO_3 films with thicknesses of 11.5 and 38 nm.

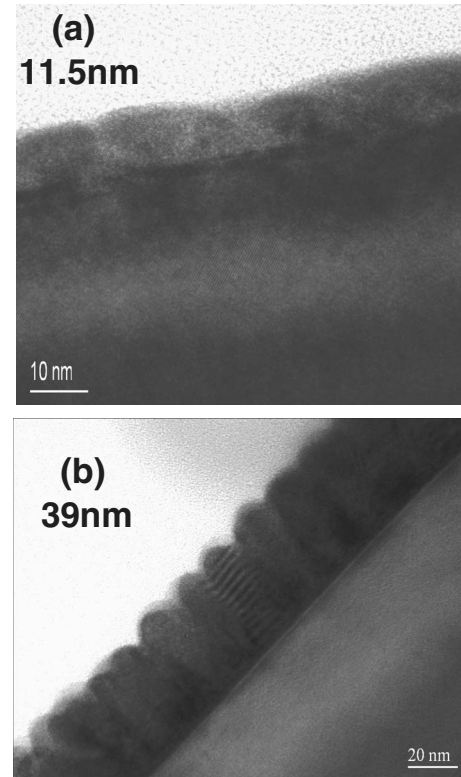


FIG. 2. Cross-sectional transmission electron microscopy images corresponding to (a) 11.5 nm and (b) 39 nm thick YbMnO_3 thin films.

Both films display a splitting of ~ 5.29 eV, which is within the range reported for Mn ions with a 3+ nominal valence.¹⁹ From these measurements it can be inferred that the stoichiometry of our films is consistent with the nominal one and, importantly, there are no composition stoichiometry gradients when varying the thickness.

Figure 4(a) shows the evolution of the magnetization of a 35 nm film as a function of temperature. It is found that the magnetization, largely dominated by the presence of the strongly paramagnetic Yb ions, follows a Curie-Weiss law, as can be better appreciated in the linear evolution of the inverse susceptibility with temperature [inset of Fig. 4(a)]. The

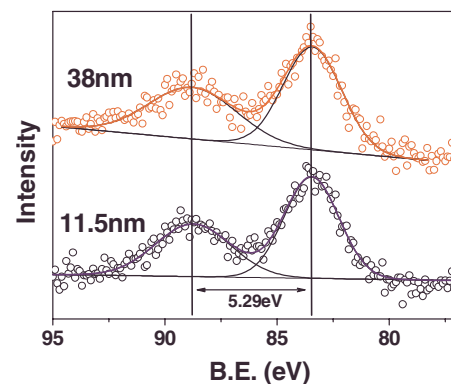


FIG. 3. (Color online) Mn 3s x-ray photoemission spectrum corresponding to 11.5 and 38 nm YbMnO_3 thin films. The backgrounds are modeled by means of a Shirley function.

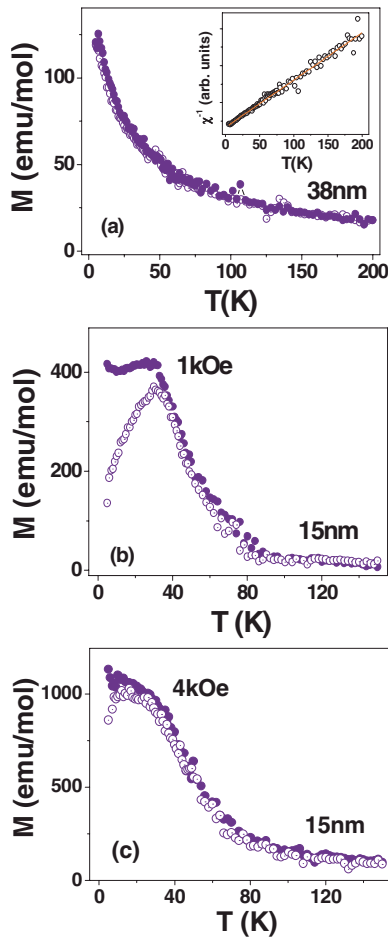


FIG. 4. (Color online) (a) Zero-field and field-cooled (1 kOe) magnetizations as a function of the temperature corresponding to a 38 nm YbMO thin film on a STO(111) substrate. The inset shows the evolution in temperature of the inverse susceptibility $\chi^{-1}(T)$. The full line shows a fitting assuming a Curie-Weiss behavior; (b), (c) zero-field and field-cooled magnetizations as a function of the temperature of a 15 nm film under fields of 1 kOe and 4 kOe, respectively. In all cases, the field was applied in the plane of the film.

extracted effective magnetic moment is $\mu_{\text{eff}} \sim (8 \pm 1)\mu_B$, which is in reasonable agreement with the expected moment for a combination of Mn^{3+} and Yb^{3+} ions ($6.7\mu_B$). The extrapolated Curie-Weiss temperature is -25 K, showing the presence of antiferromagnetic interactions. We note that in bulk measurements, due to the paramagnetic contribution of Yb, the Néel temperature (43 K) is only reflected as a very subtle feature in the magnetic susceptibility.¹⁸ In thin films, where the magnetic signal is rather small and the signal-to-noise level is considerably lower than in bulk measurements, such a subtle feature can be easily missed and it is not surprising that it does not show in our data.

The magnetic behavior is remarkably different in ultrathin films, as shown in Fig. 4(b) for a 15 nm film measured under a 1 kOe field applied in plane. Several features can be observed: field-cooled and zero-field-cooled measurements split below ~ 40 K, which is close to the magnetic ordering temperature of the bulk material. In addition, the zero-field-cool run shows a cusp at ~ 30 K. If the measurement is

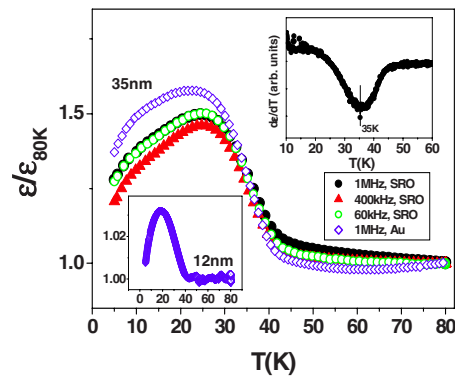


FIG. 5. (Color online) Normalized dielectric constant ϵ as a function of temperature (T) for different frequencies, corresponding to two different YbMnO₃ films with thickness of 35 nm. Either SrRuO₃ or Au were used as top electrodes. (Lower-left inset) Normalized ϵ vs T for an ultrathin 12 nm YbMnO₃ film. In the case of those films with Au top electrodes, a linear decrease in ϵ was found above ~ 40 K and thus, in order to compare them with the SrRuO₃ electrode data, a linear background has been subtracted from the raw data. (Upper-right inset) First derivative of ϵ with respect to T , as a function of T , of a 35 nm YbMnO₃ film at a frequency of 1 MHz.

repeated under a higher field [4 kOe, Fig. 4(c)], both the splitting temperature and the cusp shift to lower temperatures. From these features the presence of competing ferromagnetic/antiferromagnetic interactions leading to a glassy behavior can be suggested. As this behavior has been observed only in ultrathin films (< 30 nm), it is tempting to attribute it to a strain effect; however, it should be noted that, in addition to be more strained [see Fig. 1(b)], ultrathin films also display a much flatter morphology (see Fig. 2). Interestingly, we find that if we modify the growth conditions to obtain rougher ultrathin films (rms roughness > 0.6 nm), the magnetic behavior becomes Curie-Weiss type. This strongly indicates that the glassy behavior is related to a flatter morphology and shows that a more complex scenario than purely strain effects (involving surface or finite-size effects) should be taken into account.

In order to measure the electrical properties, 15 and 35 nm thick YbMnO₃ films were also grown on top of SrTiO₃(111) with a 20 nm thick SrRuO₃ (SRO) conductive buffer layer. The optimization of the growth of the bottom electrode will be reported elsewhere.²⁰ The SRO buffer layer was found to be fully strained by the substrate, while the obtained out-of-plane YbMnO₃ d_{101} distances were ~ 4.28 Å for 15 nm films and ~ 4.27 Å for 35 nm films. This is in agreement with those in Fig. 1(b), indicating that the films grown on a SRO buffer are equally strained that those grown on bare STO(111). The roughness of the SRO/YbMnO films ranged between 0.5 and 0.9 nm. Either SrRuO₃ or Au were used as top electrodes.

The evolution of the dielectric constant ϵ (normalized by its 80 K value) as a function of the temperature is shown in the main panel of Fig. 5, at different frequencies, for two 35 nm YbMnO₃ films with different top electrodes (SrRuO₃ and Au). A clear up turn of ϵ at ~ 42 K is observed, which is in excellent agreement with the Néel temperature of the bulk

compound. The temperature evolution of the first derivative of the dielectric permittivity ($d\epsilon/dT$) evidences the presence of a minimum at 35 K. This temperature of largest slope of $\epsilon(T)$ has been previously shown to correspond to the lock-in transition in HoMnO_3 and YMnO_3 bulk samples.¹⁶ In our case the minimum of $d\epsilon/dT$ is also in good agreement with the transition to the E phase in bulk YbMnO_3 (35 K).¹³ The appearance of features in $\epsilon(T)$ at the magnetic ordering temperatures indicates the presence of a magnetodielectric effect, which has not been previously reported in YbMnO_3 . Figure 5 also shows that ϵ reaches a maximum at ~ 25 K and further decreases for lower temperatures. This behavior resembles the case of HoMnO_3 and YMnO_3 (Refs. 16 and 21) and is not fully understood. It is important to note that all the features described above were found to be sample, top electrode, and frequency independent in the range 50 kHz–1 MHz, indicating that they reflect the intrinsic electric behavior of the films.²² This is so despite the large observed differences in the absolute values of the measured ϵ , which strongly increases at low frequencies. This effect, which is not reflected in the normalized data, is due to an important contribution from grain boundaries and interfaces and to the large dielectric losses observed.

The lower-left inset of Fig. 5 shows the normalized ϵ as a function of the temperature for an ultrathin 12 nm film. This film displays qualitatively the same features as the thicker 35 nm films; however, the magnetodielectric effect seems to be severely reduced. Thinner films displayed smaller—although still considerable—losses ($\tan \delta \sim 3$ for 35 nm films and $\tan \delta \sim 1$ for 12 nm films at 1 MHz) and reduced electrical

conductivities compared to their thicker counterparts (~ 0.5 mS for 35 nm films and ~ 0.03 mS for 12 nm films). This is in agreement with a lesser contribution from grain boundaries, which have a large associated conductivity, in the ultrathin films and it is consistent with the observed differences in morphology. Any correlation between the reduced magnetodielectric effect and the glassy magnetic behavior of ultrathin films would be highly speculative. Unfortunately, the presence of high losses also prevents to directly probe the presence of ferroelectricity by means of pyroelectric or ferroelectric loop measurements. Future efforts have to concentrate in the increase in the resistivity by controlling the film microstructure.

To conclude, we have grown pulsed laser deposited films of YbMnO_3 perovskite. Magnetodielectric coupling was observed in this metastable perovskite. Films thicker than 30 nm showed magnetic properties consistent with the bulk behavior, while the magnetic properties depart from the bulk case for ultrathin films with atomically flat surfaces. Results reported here reinforce the importance of E -phase compounds in the search of magnetoelectric materials and show that epitaxial growth is a useful tool for the synthesis of these metastable phases.

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